

**NATIONAL INSTITUTE OF TECHNOLOGY CALICUT, KOZHIKODE - 673601
TECHNICAL EDUCATION QUALITY IMPROVEMENT PROGRAM (TEQIP - III)**

**INVITATION FOR EXPRESSION OF INTEREST (EOI) FOR APPOINTMENT OF
INTERNAL AUDITOR FOR TEQIP-III PROJECT FOR THE FY2018-2019**

Ref. No. NITC/ TEQIP-III / Auditor/2018

Date: 25.01.2019

NOTIFICATION

TEQIP III is a Central Sector Scheme of the Ministry of Human Resources Development (MHRD) and covers around 26 States and 200 institutions. The Central Government will finance 100% cost. National Institute of Technology Calicut has been selected for the TEQIP III as a centrally funded Institute. Audit is to be carried out as per the Project guidelines. For details see www.npiu.nic.in.

Proposals are invited from qualified Chartered Accountant Firms for appointment as INTERNAL AUDITOR for the TEQIP -III Project in progress at National Institute of Technology Calicut for the Financial Year 2018-2019. The firms should satisfy the required Eligibility Criteria for Institute level audit, for submission of "Expression of Interest (EOI)".

Last date for submission: 11.02.2019, 3.00 P.M.

(A) Appointment of Auditors

The auditors will be appointed in accordance with the guidelines for procurement of consultants as contained in the Procurement Manual and Finance manual of the Project.(for details see URL: www.npiu.nic.in)

(B) Eligibility Criteria:

The firm must be empanelled with C & AG, without which the application of the firm would not be considered. They shall also satisfy the other minimum conditions given below

Firms must qualify following minimum criteria:

Sl. No.	Particulars*	Minimum Criteria
1	Number of Full Time Partners associated with the firm for not less than 3 years with at least one being a Fellow CA (As per Certificate of ICAI as on 1.1.2019)	4
2	Turnover of the firm (Average annual in last three financial yrs.)	Minimum Rs.25 Lakhs
3	No. of Years of Firm Existence	5 Yrs.
4	No. of assignments of Statutory Audit of Corporate/PSUs entities except Bank Branch Audit having a turnover of not less than Rs 25 crores in the last 3 years.	4
5	No. of assignments: Experience of audit of Externally Aided Projects/ Social Sector Projects (other than Audit of Charitable Institutions & NGOs) in the last 3 years	4

**The audit firms must be empanelled with the C&AG and eligible for major audits*

a) Any firm not qualifying the above minimum criteria need not apply as their proposal shall be summarily rejected.

b) Supporting Documents for Eligibility Criteria: Following supporting documents must be submitted by the firm along with the Expression of Interest EOI:

(i) For S. No. 1 above, the firm must submit an attested copy of Certificate of ICAI as on 1.1.2019. (ii) For S. No. 2, the firm must submit, a copy of the balance sheet for the last three years.

(iii) For S. No. 4 & 5, the firm must submit a copy of the appointment letters from the auditee organizations. Branch Audit of any Bank shall not be considered while taking into account, the total number of assignments.

(iv) The firm or any partners of the firm should not be black listed by any PSUs or Govt. Co. or any other organization in respect of any assignment or behavior. [Self- attested affidavit on Rs.200/- stamp paper to be given in this regard by the authorized person of the firm].

Firms responding to this notification are requested to furnish all the required details of the firm as per the FORMAT FOR EXPRESSION OF INTEREST (EOI) given as Annexure to this Notification.

(C) Submission of EXPRESSION OF INTEREST (EOI)

The EOI has to be submitted as per the format given in sealed super scribed A4 size Envelope. The Envelope should be super scribed as "Do not open till 3.00 P.M on 11.02.2019, TEQIP-III EXPRESSION OF INTEREST (EOI)-INTERNAL AUDIT" "NITC/TEQIP-III/PMU/Auditor/2018-EOI for Appointment of Internal Auditor 2018-2019" addressed to "The Director, Attn: TEQIP Coordinator, TEQIP Office, Administrative Block (New), National Institute of Technology Calicut, NIT campus P.O., Kozhikode-673601, Kerala". The last date for submission for the above proposals is 11.02.2019, 3.00 P.M. The EOI will be evaluated as per the guidelines. The firms who qualify in the EOI will be shortlisted and the Request for Proposal will be issued to the top six short listed Firms.

(D) TERMS & CONDITIONS

1. Under the TEQIP-III Project, National Institute of Technology Calicut has been selected and is allotted with the funds for various activities envisaged under the project. The selected auditing firm shall look into the financial transaction and examine whether the transactions / expenditures are supported by proper authorization, duly supported proper approval, supporting vouchers etc., with approval / authorization with the powers delegated. It should also be examined whether the expenditures are for the purposes for which the funds were transferred as per the Project Implementation Plan (PIP).
2. Audit Charges and other payments due to the Audit firm shall be paid on the agreed terms and conditions from the TEQIP III funds
3. The Terms of Reference (ToR), Selection Criteria, Evaluation Criteria for Selection of Auditor (Expression of Interest) are shown as Annexure along with the detailed Invitation for EOI on the Institute's website www.nitc.ac.in.
4. For further details the website www.npiu.nic.in shall be visited and refer the Financial Management Manual
5. For further information: E-mail: teqip-office@nitc.ac.in;; Phone. 0495-2286137

Sd/-
DIRECTOR

ANNEXURE
NATIONAL INSTITUTE OF TECHNOLOGY CALICUT, KOZHIKODE – 673601
TECHNICAL EDUCATION QUALITY IMPROVEMENT PROGRAM (TEQIP –
III) INVITATION FOR EXPRESSION OF INTEREST (EOI) FOR APPOINTMENT OF
INTERNAL AUDITOR FOR TEQIP-III PROJECT FOR THE FY2018-2019

FORMAT FOR EXPRESSION OF INTEREST (EOI)

Sl. No.	Particulars*	Fulfilling Eligibility/ (Firm Details)
1.	Name, Postal Address, Contact No., Mobile No. Fax. No. & e-mail id. of the Auditing Firm	
2.	Is the firm empanelled with C&AG; If yes, Empanelment Number with C&AG of India.	
3.	No. of Years of Existence of the Firm	
4.	Number of Full Time Partners associated with the firm for not less than 3 years with at least one being a Fellow CA (As per Certificate of ICAI as on 1.1.2019)	
5.	Number of Professionally Qualified Staff. Details of the Staff with their qualifications Between 10-25 staff-(5 marks) More than 25 Staff-(10 marks)	
6.	Turnover of the firm in INR for the last five years-Give year wise from 2013-14 to 2017-2018 (More than 50 lacs and up to 75 Lacs-2 marks for each year; More than 75 Lacs-4 marks for each year)	
7.	Presence of the Firm in Kozhikode(North Kerala) (Postal Address in Kozhikode)	Yes/No
8.	Number of Audit and similar assignments undertaken during last 5 years (5 marks for each assignment, maximum three)	
9.	Number of World Bank Project Audits** undertaken during the last 5 years (5 marks for each assignment, maximum seven assignments)	
10.	No. of assignments of Statutory Audit of Corporate/ PSUs entities except Bank Branch Audit having a turnover of not less than Rs 25 crores in the last 3 years.	
11.	No. of assignments: Experience of audit of Externally Aided Projects/ Social Sector Projects (other than Audit of Charitable Institutions & NGOs) in the last 3 years	

(*Supporting Documents should be enclosed for particulars from 3 to 11)

** World Bank audit- means any audit conducted by the firm for World Bank clients, and includes both external audit and internal audit.

Signature & Seal of the Authorized Signatory

Place:

Date: